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QFII

			()	
1	J. P. Morgan Securities plc	QFII	79.52	90,000,000.00
			71.1	101,000,000.00
			67.1	106,000,000.00
2			76.61	47,000,000.00
			73.35	156,000,000.00
			70.09	224,000,000.00
3	JPMORGAN CHASE BANK, NATIONAL ASSOCIATION	QFII	76	120,000,000.00
			69	129,000,000.00
4			75.13	67,000,000.00
			72.99	130,500,000.00
			68.66	214,500,000.00
5			75	43,000,000.00

			()	
			70	44,000,000.00
			66	45,000,000.00
6			74	129,000,000.00

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			()	
21			68.8	43,000,000.00
22	9	-	68.8	43,000,000.00
			67.3	43,000,000.00
			65.5	43,000,000.00
23		-	68.66	50,000,000.00
24			68.33	131,000,000.00
25			67.68	55,000,000.00
			65.43	57,000,000.00
			65.39	58,000,000.00
26		-	66.66	43,000,000.00
27	8	-	66.66	43,000,000.00
28	9	-	66.66	43,000,000.00
29	6	-	66.66	43,000,000.00
30			66.5	43,000,000.00

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			()	()
1			2,617,524	188,199,975.60
2			2,169,680	155,999,992.00
3			1,937,421	139,300,569.90
4			1,815,020	130,499,938.00
5			1,794,158	128,999,960.20
6	JPMORGAN CHASE BANK, NATIONAL ASSOCIATION	QFII	1,668,984	119,999,949.60
7			1,390,820	99,999,958.00
8			1,390,820	99,999,958.00
9	J. P. Morgan Securities plc	QFII	1,251,738	89,999,962.20
10		QFII	1,251,738	89,999,962.20
11		QFII	931,849	66,999,943.10
12			848,400	60,999,960.00
13			598,052	42,999,938.80
14			598,052	42,999,938.80
15		QFII	598,052	42,999,938.80
			20,862,308	1,499,999,945.20

J.P. Morgan Securities plc JPMorgan Chase Bank, National Association

QFII

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(本页无正文，为《国泰君安证券股份有限公司、瑞银证券有限责任公司关于浙江鼎力机械股份有限公司非公开发行股票之发行过程和认购对象合规性的报告》之签章页)

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黄 万

项目协办人签字：


张文霞

法定代表人签字：


贺 青



（本页无正文，为《国泰君安证券股份有限公司、瑞银证券有限责任公司

关于上海浦东建设股份有限公司发行股票之发行过程和认购对象合规性

的报告》之签章页）

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上海浦东建设股份有限公司 发行股票之发行过程和认购对象合规性